

AP20 Rec'd PCT/PTO 09 AUG 2006

INFORMATION DISCLOSURE STATEMENT

Applicant : Ogata et al.
App. No : Unknown
Filed : Herewith
For : POLYMER COMPOUND,
PHOTORESIST COMPOSITION
INCLUDING THE POLYMER
COMPOUND, AND RESIST
PATTERN FORMATION METHOD
Examiner : Unassigned
Art Unit : Unknown

CERTIFICATE OF MAILING

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

August 9, 2006

(Date)

Neil S. Bartfeld, Ph.D., Reg. No. 39,901

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is a PTO/SB/08 Equivalent listing 4 references to be considered by the Examiner. Also enclosed are 3 foreign patent references and/or non-patent literature as listed on the Information Disclosure Statement.


This Information Disclosure Statement is being filed within three months of the filing date, with an RCE or before receipt of a first office action after an RCE and no fee is required.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: August 9, 2006

By: 
Neil S. Bartfeld, Ph.D.
Registration No. 39,901
Agent of Record
Customer No. 20,995
(619) 235-8550

| | | |
|--|----------------------|---------------------------|
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | Application No. | Unknown |
| | Filing Date | Herewith 10/588866 |
| | First Named Inventor | Toshiyuki Ogata |
| | Art Unit | Unknown |
| (Multiple sheets used when necessary) | Examiner | Unassigned |
| SHEET 1 OF 1 | Attorney Docket No. | SHIGA7.053APC |

| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|-------------|---|--------------------------------|-------------------------------|--|
| Examiner Initials | Cite No. | Document Number Number - Kind Code (if known) Example: 1,234,567 B1 | Publication Date MM-DD-YYYY | Name of Patentee or Applicant | Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear |
| | 1 | 6,596,458 B1 | 07/22/2003 | Fuji Photo Film Co., Ltd. | |

| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|-------------|--|-----------------------------------|----------------------------------|--|----------------|
| Examiner Initials | Cite No. | Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1 | Publication Date MM-DD-YYYY | Name of Patentee or Applicant | Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear | T ¹ |

| NON PATENT LITERATURE DOCUMENTS | | | |
|---------------------------------|-------------|---|----------------|
| Examiner Initials | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ¹ |
| | 2 | Hagiwara et al. <i>J. Photopolym. Sci. Technol.</i> 16:557-564 (2003). | |
| | 3 | Houlihan et al. <i>J. Photopolym. Sci. Technol.</i> 16:581-590 (2003). | |
| | 4 | Kawaguchi et al. <i>Proc. of SPIE.</i> 5039:43-52 (2003). | |

2820896\cey
080806

| | |
|---|-----------------|
| Examiner Signature | Date Considered |
| <p>*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p> | |

T¹ - Place a check mark in this area when an English language Translation is attached.